

**NON-PROVISIONAL  
UTILITY PATENT APPLICATION  
TRANSMITTAL - 37 CFR 1.53(b)**

☐ **Duplicate**  
(check, if applicable)

17497 U.S. PTO  
10/695043



<b>MAIL STOP PATENT APPLICATION</b> Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	<b>Attorney Docket No.: 8861-202U3 (P14859-09)</b> <b>First Named Inventor: Kiyoshi Masaki et al.</b> <b>Express Mail Label No.: EV343988602US</b> <b>Total Pages of Transmittal Form: 2</b>
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Transmitted herewith for filing is the non-provisional utility patent application entitled:

**DISK DRIVE APPARATUS**

which is:

an ☐ Original; or

a ☒ Continuation, ☐ Divisional, or ☐ Continuation-in-part (CIP)  
of prior Application No. 10/179,747 filed June 24, 2002.

Anticipated Group/Art Unit: &@ or Class &@, Subclass &@.

☐ This non-provisional patent application is based on Provisional Patent Application No.  
&@, filed &@.

Enclosed are:

- ☒ Specification (including Abstract) and claims: 46 pages.
- ☒ 25 sheets of drawings (formal).
- ☐ Application Data Sheet.
- ☐ Newly executed/unexecuted Declaration (original/copy).
- ☒ Copy of Declaration from prior application.
- ☐ Separate Power of Attorney (including 37 CFR 3.73(b) statement, if applicable).
- ☐ Microfiche computer program (Appendix).
- ☐ Nucleotide and/or Amino Acid Sequence Submission, including:
- ☐ Computer readable copy ☐ Paper Copy ☐ Verified Statement.
- ☐ Under PTO-1595 Cover Sheet, an assignment of the invention
- ☒ Name of Assignee: **Matsushita Electric Industrial Co., Ltd.**
- ☒ Certified copy(ies) of Japanese Application No(s). Hei 08-191193 filed July 19, 1996 and Hei 09-53882 filed February 21, 1997 were filed:

☐ herewith or ☒ in prior application no. 10/179,747 filed June 24, 2002.

☐ Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under 37 C.F.R. § 1.27 as ☐ an Independent Inventor, or ☐ a Small Business Concern, or ☐ a Non-Profit Organization.

☒ Preliminary Remarks.

☒ Information Disclosure Statement, PTO/SB/08A, w/o cited references.

☐ Request for Nonpublication of Application Under 35 U.S.C. § 122(b)

☐ Other: &@

The filing fee is calculated as follows:

			SMALL ENTITY			LARGE ENTITY	
CLAIMS	NO. FILED	NO. EXTRA	BASIC FEE:			BASIC FEE:	
			\$375			\$750	
Total	2 -20 =	-0-	X9	\$-0-	OR	X18	\$-0-
Independent	2 - 3 =	-0-	X42	\$-0-	OR	X84	\$-0-
<input type="checkbox"/> Multiple Dependent Claims Present			\$140	\$-0-	OR	\$280	\$-0-
			TOTAL	\$-0-	OR	TOTAL	\$750.00

☐ The Commissioner is not authorized to charge the filing fee at this time as we elect to defer payment of the entire filing fee until receipt of a Notice to File Missing Parts

☒ A check in the amount of **\$750.00** to cover the filing is enclosed.

☒ The Commissioner is hereby authorized to charge and/or credit **Deposit Account No. 50-1017 (Billing No. 208861.0476)** as noted below. A duplicate copy of this sheet is enclosed.

☒ Any overpayments or deficiencies in the above-calculated fee.

☐ Filing fee in the amount of \$\_\_\_\_\_ as calculated above.

☒ Any additional fees required under 37 C.F.R. § 1.16 and § 1.17.

☒ In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

**CORRESPONDENCE ADDRESS**

October 28, 2007 By: Martin G. Belisario  
(Date)

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☒ Customer Number or Bar Code Label: **000570**

MGB:KBG:cbf

Enclosures